



ALBANY COUNTY AIRPORT AUTHORITY

**NEW YORK STATE SERVICE-DISABLED
VETERAN-OWNED BUSINESS**

MASTER GOAL PLAN FOR NYS FISCAL YEAR 2022-2023

This plan and more information can be found on the Authority's web site at:

<http://albanyairport.com/airport-authority/financial-information>

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**ALBANY COUNTY AIRPORT AUTHORITY
MASTER GOAL PLAN
NYS FISCAL YEAR 2022-2023**

1) AGENCY OVERVIEW

The Albany County Airport Authority (Authority) is a body corporate and politic constituting a public benefit corporation established and existing pursuant to the Albany County Airport Authority Act, Title 32 of Article 8 of the New York Public Authorities Law. The State of New York (State) created the Authority in 1993 in order to promote the strengthening and improvement of the Airport, to facilitate the financing and construction of the Terminal Improvement Project (TIP) and subsequent capital improvement programs, and give the Authority the power to operate, maintain and improve the Airport.

The Authority is governed by seven members, with four members appointed by the majority leader of the County of Albany (County) Legislature and three members by the County Executive, all with approval of the County Legislature. The Authority members are appointed for a term of four years or until a successor is appointed, except that any person appointed to fill a vacancy will be appointed to serve only the unexpired term.

The Albany County Airport Authority has established a Service-Disabled Veteran-Owned Business Program in accordance with the rules and regulations of the SDVOB program (9 CRR-NY G I 252). The Authority has also established a Service-Disabled Veteran-Owned Business program in accordance with Article 17-B Rules and Regulations. The Authority's procurement practices conform with Article 17-B of the Executive Law.

The Albany County Airport Authority has entered into certain grant agreements with the Federal Aviation Administration (FAA) whereby the Authority has agreed to ensure that minority, women-owned and disadvantaged business, as defined in 49 CFR Part 26, have the maximum opportunity to participate in the performance of contracts and subcontracts financed in whole, or in part, with Federal and non Federal funds. Furthermore, the Authority requires all entities entering into Airport contract to also agree to ensure that minority, woman-owned and disadvantaged business enterprises, have the maximum opportunity to compete for, and participate in the performance of Airport contracts and subcontracts financed in whole or part, with Federal, State and Airport funds. The Albany County Airport Authority and its contractors shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of Airport contracts.

The purpose of assisting Service-Disabled Veteran-Owned Business is to achieve the objectives of the Albany County Airport Authority's policy as set forth above, of supporting the fullest possible participation for firms owned and controlled by service-disabled veterans. This includes assisting SDVOBs throughout the life of contracts in which they participate. The standards apply to all contracts for goods services or construction awarded by or on behalf of the Authority.

MISSION STATEMENT

ALBANY COUNTY AIRPORT AUTHORITY MISSION STATEMENT AND PERFORMANCE MEASURES

The Authority is responsible for the efficient planning, development, administration, operation and financial condition of the Airport. The Authority, as landlord, rents space and assesses fees and charges to the airlines and businesses providing goods and services to the traveling public and to the civilian, business, governmental and military users of the Airport. The Authority is responsible for assuring residents of the County, the Town of Colonie and the surrounding areas of minimal environmental impact from air navigation and transportation. In October of 2005 the Authority employed the services of Maquire-AvPorts, currently AFCO AvPorts Management LLC (“AFCO”) and Aviation Facilities Company, Inc. (“AFCO Parent”) to manage the daily operations and maintenance of the Airport and the services of REW Investments, Inc. (d/b/a Million Air) to manage the daily operations of the Fixed Base Operation (FBO).

2) **DESCRIPTION OF PROCUREMENT STRATEGY**

SDVOB PROCUREMENT STRATEGY

The Authority is striving to achieve the 6% SDVOB goal as projected in our goal plan. The difficulty is due to the limited certified SDVOB firms. With the increasing number of SDVOB firms that are certified every month, we anticipate an increase in SDVOB utilization. Attending VETCON has enabled the Authority to meet and connect with certified SDVOB firms for increased SDVOB participation as well.

During the first three quarters of State fiscal year 2021-22 the utilization rate of 1.94% compared to 0.8% for State fiscal year 2020-21. This is below the goal and indicates the goal may be more difficult to obtain than anticipated; however, we continue to look for ways to increase SDVOB participation.

The Authority's procurement guidelines require it follow a competitive process for procurements that are not awarded to a sole source provider.

The Authority continues to evolve its solicitation, goal setting and monitoring efforts to improve SDVOB participation. The Authority has had limited progress towards meeting its goals in its SDVOB implementation and sharing a quarterly progress report with the Authority Purchasing Department and evaluate strategy success and strategy modification on a quarterly basis.

The Authority will assist certified SDVOB firms identify procurement opportunities and understand procurement processes, policies and procedures. The Authority seeks to increase the pool of available SDVOB firms by encouraging uncertified firms that meet the criteria to get certified as SDVOB by applying for SDVOB certification. The Authority will assist firms that may be eligible for certification understand the requirements and the advantages of becoming certified, and will assist firms meeting the criteria understand the certification requirements and navigate the application process.

The Authority will work closely with prime contractors to ensure that they comply with requirements to report payments to subcontractors and that they make good faith efforts to meet the utilization goals established for the contract. Assistance to prime contractors includes providing them with lists of certified SDVOB's able to perform specific types of work that falls within the scope of the contract. This intensive effort maximizes the likelihood that the prime contractors will be able to meet the utilization goals. The Albany County Airport Authority SDVOB utilization can be viewed by visiting the OGS website using the following link: <https://ogs.ny.gov/system/files/documents/2020/01/ogs-sdvob-2022-annual-report.pdf>. The Albany County Airport Authority's Master Goal Plan for NYS Fiscal Year 2022-2023 can be viewed by visiting the Airport website using the following link: <http://albanyairport.com/airport-authority/financial-information>.

The best opportunities available for SDVOB's based upon the current list of SDVOB's certified for either Albany County or Statewide are: sales of commodities and safety supplies, flooring contracting; and construction subcontracting. An analysis indicates after considering Exemptions and Exclusion and spending subject to Federal Goals the in the

Authority's total 2022 Budget, a little less than \$10 million in spending is available for purchases from SDVOB's. The Authority has established an SDVOB purchase goal of 6% of available spending. The Authority's methodology for determining exclusions and exemptions is based upon applying the Operational Guidance furnished by OGS for exemptions and exclusions including federal contracts to our budget and identifying what spending remains available for the SDVOB goal. Our Calculation of spending available for purchases from SDVOB's based upon our 2022 Adopted Budget.

All procurement staff are trained on the SDVOB Procurement Strategy. The SDVOB liaison officer, purchasing agent and deputy purchasing agent do attend the annual Veterans in Economic Transition Conference, VETCON.

The Authority sets a SDVOB goal participation requirement for each procurement that does not include federal spending and is not exempt or excluded for there is not SDVOB opportunity. When participation requirements are not achieved by bidders and proponents on individual procurements they must submit evidence good faith efforts along with a waiver request. All waiver requests are given a due diligence review to enable a determination to grant or deny the waiver request. All waivers granted are reported to the Office of General Services.

The Table on the following pages summarizes the Authority's:

- Total Estimated spending
- Estimated Exemptions and Exclusions
- Spending Available for SDVOB vendors
- SDVOB spending by category and allocation of Goal between prime contractors and subcontractors.

SDVOB 2022-2023 Goal Plan Projected Spending based upon 2022 Budget Document

Projected Budget	\$ 66,950,213
Projected Exempt Contract & Expenditures	(33,121,831)
Projected Excluded Contracts & Expenditures	(23,885,188)
Available for Budget Goals	<u>\$ 9,943,194</u>

	GOAL	PRIME	SUB	CATEGORY AMOUNT
C: Commodities	SDVOB	6%	0%	\$ 1,120,740
CC: Construction and Construction Professional	SDVOB	5%	1%	329,443
CN: Construction	SDVOB	5%	1%	6,588,866
SC: Service/Consultants	SDVOB	6%	0%	1,904,145
Total				<u>\$ 9,943,194</u>

Exemptions

Intergovernmental Charges	Federal CAP Spending	\$ 10,052,940
Land Claims	Sicker Road Land Purchase	\$ 300,000
Miscellaneous/Other	Airfield Material and Supplies	1,082,888
Miscellaneous/Other	Insurance	1,016,200
Staff Expenses	Authority Personnel	3,518,468
Staff Expenses	County Sheriff & Code Enforcement	2,091,868
Staff Expenses	Other Professional Services-County	466,200
Staff Expenses	Tuition	2,500
Office Expenses	Postage, express mail - Sole Source	29,000
Miscellaneous/Other	Artists Stipends	98,445
OGS Contracts	Card Access, CCTV & Key Systems	146,500
Fuel Truck Rental	Fuel Truck Rental	20,976
Real Estate	Land Lease	15,435
Travel Reimbursement	Travel, Training	121,234
Advertising	Legal Ads	22,000
Advertising	General - Times Union, Websites	150,000
Office Expenses	Dues & Subscriptions	71,625
Computer Training	Sole Source	151,000
Computer Hardware Software	Sole Sources	370,234
Services	Dump Fees - Sole Source	8,280
Services	Licenses & Permits - Sole Source	3,850
Debt Service	Bondholders	10,021,264
EZ Pass Fees	Thruway Authority	120,000
Credit Card Processing Fees	Merchant Bank	456,000
Property Taxes	Town and County	38,000
Preferred Source Contracts - Window Washing	NYSID	83,169
Preferred Source Contracts - Janitorial	NYSID	581,193
Utilities	Electric, Gas, Sewer, Water, Telephone, etc.	2,082,562
Total Exemptions		<u>\$ 33,121,831</u>

Exclusions

Services	Daily Airport Management, multi-year contract & FBO	14,883,874
Services	De-icer/Glycol, no SDVOB	1,429,954
Services	Elevator Service, multi-year contract	468,500
Services	Information Booth Operations, no SDVOB	151,128
Services	CDTA Bus Shuttle, no SDVOB	12,502
Services	Bank & Paying Agent - no SDVOB	7,000
Armored Car	Armored Car	5,000
Fuel Truck Purchase	Diesel Truck	225,000
Services	Payroll Services, no SDVOB	61,500
Services	Liquid Waste Disposals - No SDVOB	41,600
Transportation, Communication and Sanitary Services	Waste Management Services	76,955
Transportation, Communication and Sanitary Services	Airfield Safety Equipment & Light Vehicles	1,131,000
Wholesale/Retail Goods	Aviation Fuels	3,853,515
Wholesale/Retail Goods	Diesel, Gas - vehicles	237,660
Administrative	Contingency and CEO Discretionary	\$ 1,300,000
Total Exclusions		<u>23,885,188</u>

3) **BOILERPLATE LANGUAGE**

FEDERAL LAW REQUIREMENTS FOR BID SOLICITATIONS

SECTION 100

100-01 NOTICE OF REQUIREMENT FOR AFFIRMATIVE ACTION TO ENSURE EQUAL EMPLOYMENT OPPORTUNITY (EXECUTIVE ORDER 11246, AS AMENDED)

A. The following is to be made a part of all solicitations for bids on all federally assisted construction contracts or subcontracts in excess of \$10,000.00.

B. The offeror's or bidder's attention is called to the "Equal Opportunity Clause" (Section 100-04) and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" (Section 100-06) set forth herein.

C. The goals for minority and female participation, expressed in percentage terms **FOR THE CONTRACTOR'S WORKFORCE** on all construction work in a covered area, are as follows:

<u>Goals for minority</u>	<u>Goals for female</u>
<u>Participation</u>	<u>Participation</u>
5%	4%

1. These goals are applicable to all the contractor's CONSTRUCTION WORKFORCE (whether or not it is Federal or federally assisted) performed in the covered area. If the contractor performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the contractor also is subject to the goals for both its federally involved and non-federally involved construction.

2. The contractor's compliance with the executive order and the regulations in 41 CFR Part 60-4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60-4.3(a), and its efforts to meet the goals established for the geographical area where the contract resulting from this solicitation is to be performed. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and the contractor shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from contractor to contractor or from project to project, for the sole purpose of meeting the contractor goals shall be a violation of the contract, the executive order, and the regulations in 41 CFR Part 60-4. Compliance with the goals will be measured against the total work hours performed.

D. The contractor shall provide written notification to the Director, OFCCP, within 10 working days of award of any construction subcontract in excess of \$10,000.00 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor, employer identification number, estimated dollar amount of the subcontract, estimated starting and completion dates of the subcontract, and the geographical area in which the contract is to be performed.

E. As used in this notice and in the contract resulting from this solicitation, the "covered area" is Albany International Airport, County of Albany, New York.

F. The Department of Labor has eliminated all imposed EEO plans and the Philadelphia Plan as a means of complying with Executive Order 11246. Hometown Plans can still be used; however,

signatories are required to submit goals and timetables for the utilization of women to the Director, Office of Federal Contract Compliance Programs, Department of Labor, Washington, D.C.

100-02 CERTIFICATION OF NONSEGREGATED FACILITIES: All bidders will be required to submit with their bids a Certification of Nonsegregated Employee Facilities, including an agreement to get a similar certification from proposed subcontractors. These certifications will be required prior to award of contract.

(SEE CERTIFICATION FORM ON NEXT PAGE)

CONTRACTOR’S CERTIFICATION OF NONSEGREGATED FACILITIES

The federally assisted construction contractor certifies that it does not maintain or provide, for its employees, any segregated facilities at any of its establishments and that it does not permit employees to perform services at any location, under its control, where segregated facilities are maintained. The federally assisted construction contractor certifies that it will not maintain or provide, for its employees, segregated facilities at any of its establishments and that it will not permit its employees to perform services at any location, under its control where segregated facilities are maintained. The federally assisted construction contractor agrees that a breach of this certification is a violation of the equal opportunity clause in this contract. As used in this certification, the term “segregated facilities” means any waiting rooms, work areas, restrooms and washrooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicit directives or are in fact segregated on the basis of race, color, religion or national origin because of habit, local custom, or any other reason. The federally assisted construction contractor agrees that (except where he has obtained identical certifications from proposed subcontractors for specific time periods) he will obtain identical certifications for proposed subcontractors prior to the award of subcontractors exceeding \$10,000.00 which are not exempt from the provisions of the equal opportunity clause and that he will retain such certifications in his files.

The information above is true and complete to the best of my knowledge.

Name and Title (Please type)

Signature

Date _____

Note: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

A. Contractors/Subcontractors with 50 or more employees and Contracts over \$50,000.00: All contractors and subcontractors performing on federally assisted projects are required to file annually (on or before March 31) complete and accurate reports on SF 100 (Employee Information Report, EEO-1) to the Joint Reporting Committee. The first report is due within 30 days after award unless such report was filed within the preceding 12-month period.

Standard Form 100 is normally furnished based on a mailing list, but can be obtained from the Joint Reporting Committee, P.O. Box 2236, Norfolk, Virginia 20501.

B. Contractors/Subcontractors with Contracts over \$10,000.00: As indicated in paragraph E of the EEO Clause, monthly Employment Utilization Reports, CC 257 (previously SF 257) will be submitted to the OFCCP, at the following addresses:

For downstate New York and New Jersey:

Mr. Harold M. Busch
District Director, OFCCP/ESA
U.S. Department of Labor
26 Federal Plaza, Rm. 36-116
New York, N.Y. 10278

For upstate New York:

Mr. Garland Sweeney
District Director, OFCCP/ESA
U.S. Department of Labor
Jackson Building, Rm. 609
220 Delaware Avenue
Buffalo, N.Y. 14202

100-04 EQUAL EMPLOYMENT OPPORTUNITY CLAUSE: The following is included IN ENTIRETY in all federally funded construction contracts over \$10,000.00

During the performance of this contract, the contractor agrees as follows:

A. The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to insure that applicants are employed and that employees are treated during employment without regard to their race, color, religion, sex or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination, rates of pay or other compensation; and selection for training, including apprenticeship. The contractor agrees to post, in conspicuous places available to employees and applicants for employment, notices (Attached as 100-05) setting forth the provisions of this nondiscrimination clause.

B. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive considerations for employment without regard to race, color, religion, sex, or national origin.

C. The contractor will send, to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice (Attached as 100-05) advising the said labor union or workers' representatives of the contractor's commitments under this section and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

D. The contractor will comply with all provisions of Executive Order 11246, as amended, of September 24, 1965, and the rules, regulations, and relevant orders of the Secretary of Labor.

E. The contractor will furnish all information and reports required by Executive Order 11246, as amended, of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the Comptroller General of the United States, Department of Transportation, FAA and the Secretary of Labor for purposes of Investigation to ascertain compliance with such rules, regulations and orders.

F. In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246, as amended, of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246, as amended, September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise proved by law.

G. The contractor will include the portion of the sentence immediately preceding paragraph A and the provisions of paragraphs A through G in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246, as amended, September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the FAA may direct as a means of enforcing such provisions, including sanctions for noncompliance: provided, however, that in the event a contractor becomes involved in, or is threatened with litigation with a subcontractor or vendor as a result of such direction by the FAA, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.

H. Contractors and subcontractors may satisfy the requirements of Paragraph B of the referenced EEO clause by complying with any of the following:

1. Stating in the Invitations for bids that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin, or

2. Including appropriate insignia in display or other advertising as prescribed by the Department of Labor, or

3. Using a single advertisement grouped with other advertisements under a caption which clearly states that all employers in the group assure all qualified applicants will have equal consideration for employment without regard to race, color, religion, sex, or national origin, or

4. Using the phrase "an equal opportunity employer" in a single advertisement in clearly distinguishable type.

**SECTION 100-05 NOTICES TO BE POSTED
PER PARAGRAPHS A AND C OF THE EEO CLAUSE**

**EQUAL EMPLOYMENT OPPORTUNITY IS THE LAW - DISCRIMINATION IS PROHIBITED BY
THE CIVIL RIGHTS ACT OF 1964 AND BY EXECUTIVE ORDER NO. 11246**

Title VI of the Civil Rights Act of 1964 - Administered by:

THE EQUAL EMPLOYMENT OPPORTUNITY COMMISSION

Prohibits discrimination because of Race, Color, Religion, Sex, or National Origin by Employers with 75 or more employees, by Labor Organizations with a hiring hall of 75 or more members, by Employment Agencies, and by Joint Labor-Management Committees for Apprenticeship or Training. After July 1, 1967, employees and labor organizations with 50 or more employees or members will be covered: after July 1, 1968, those with 25 or more will be covered.

ANY PERSON

Who believes he or she has been discriminated against

SHOULD CONTACT

**THE EQUAL EMPLOYMENT OPPORTUNITY COMMISSION
1800 G Street NY, Washington, D.C. 20506**

Executive Order No. 11246 - Administered by:

THE OFFICE OF FEDERAL CONTRACT COMPLIANCE

Prohibits discrimination because of Race, Color, Religion, Sex or National Origin, and requires affirmative action to ensure equality of opportunity in all aspects of employment.

By all Federal Government Contracts and Subcontractors, and by Contractors Performing Work Under a Federally Assisted Construction Contract, regardless of the number of employees in either case.

ANY PERSON

Who believes he or she has been discriminated against

SHOULD CONTACT

**THE OFFICE OF FEDERAL CONTRACT COMPLIANCE
U.S. Department of Labor, Washington, D.C. 20210**

100-06 STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246, AS AMENDED)

The following specifications are made a part of all federally assisted construction contracts or subcontracts over \$10,000.00 AND included in all invitations for bids:

A. As used in these specifications:

1. "Covered area" means the geographical area described in the solicitation for which this contract resulted;
2. "Director" means Director, Office of Federal Contract Compliance Programs (OFCCP), U.S. Department of Labor, or any person to whom the Director delegates authority;
3. "Employer identification number" means the Federal social security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941;
4. "Minority" includes:
 - (a) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (b) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin regardless of race);
 - (c) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast, Asia, and the Indian subcontinent, or the Pacific Islands); and
 - (d) American Indian or Alaskan native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

B. Whenever the contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which the contract resulted.

C. If the contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the plan area (including goals and timetables) shall be in accordance with that plan for those trades which have unions participating in the plan. Contractors must be able to demonstrate their participation in the compliance with the provisions of any such Hometown Plan. Each contractor or subcontractor participating in an approved plan is individually required to comply with its obligations under the EEO clause and to make a good faith effort to achieve each goal under the plan in each trade in which it has employees. The overall good faith performance by other contractors or subcontractors toward a goal in an approved plan does not excuse any covered contractor's or subcontractor's failure to take good faith efforts to achieve the plan goals and timetables.

D. The contractor shall implement the specific affirmative action standards provided in paragraphs G.1 to G.16 of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction contractors performing construction work in a geographical area where they do not have a Federal or federally assisted construction contract shall apply to the minority and female goals established for

the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any OFCCP office or from Federal procurement contracting officers. The contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

E. Neither the provisions of any collective bargaining agreement nor the failure by a union with whom the contractor has a collective bargaining agreement to refer either minorities or women shall excuse the contractor's obligations under these specifications, Executive Order 11246, as amended, or the regulations promulgated pursuant thereto.

F. In order for the nonworking training hours of apprenticeship and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the contractor during the training period and the contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.

G. The contractor shall take specific affirmative actions to ensure EEO. The evaluation of the contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The contractor shall document these efforts fully and shall implement affirmative action steps at least as extensive as the following:

1. Ensure and maintain in a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the contractor's employees are assigned to work. The contractor, where possible, will assign two or more women to each construction project. The contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
2. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the contractor or its union have employment opportunities available, and maintain a record of the organizations' responses.
3. Maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source, or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the contractor by the union or, if referred, not employed by the contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the contractor may have taken.
4. Provide immediate written notification to the Director when the union or unions with which the contractor has a collective bargaining agreement has not referred to the contractor a minority person or woman sent by the contractor, or when the contractor has other information that the union referral process has impeded the contractor's efforts to meet its obligations.
5. Develop on-the-job training opportunities and/or participate in training programs for the areas which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the contractor's employment needs, especially those programs funded or approved by the Department of Labor. The contractor shall provide notice of these programs to the sources compiled under G.2 above.
6. Disseminate the contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

7. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions including specific review of these items with on-site supervisory personnel such as superintendents, general foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
8. Disseminate the contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the contractors and subcontractors with whom the contractor does or anticipates doing business.
9. Direct its recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students; and to minority and female recruitment and training organizations serving the contractors recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the contractor shall send written notification to organizations, such as the above, describing the openings, screening procedures, and test to be used in the selection process.
10. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer, and vacation employment to minority and female youth both on the site and in other areas of a contractor's workforce.
11. Validate all test and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
12. Conduct, at least annually, an inventory and evaluation, at least of all minority and female personnel, for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
13. Ensure that seniority practices, job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the contractor's obligations under these specifications are being carried out.
14. Ensure that all facilities and company activities are nonsegregated except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
15. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
16. Conduct a review, at least annually, of all supervisors' adherence to and performance under the contractor's EEO policies and affirmative action obligations.

H. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (G.1 to G.16). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar groups of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under G.1 to G.16 of these specifications provided that the contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the contractor's minority and female workforce participation, makes good faith efforts to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the contractor. The obligation to comply, however, is the contractor's and failure of such a group to fulfill an obligation shall not be a defense for the contractor's noncompliance.

I. A single goal for minorities and a separate single goal for women have been established. The contractor, however, is required to provide EEO and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the contractor may be in violation of the executive order if a particular group is employed in a substantially disparate number (for example, even though the contractor has achieved its goals for women generally, the contractor may be in violation of the executive order if a specific minority group of women is underutilized).

J. The contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

K. The contractor shall not enter into any subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246, as amended.

L. The contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination, and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and in its implementing regulations, by the OFCCP. Any contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 12246, as amended.

M. The contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph G or these specifications so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the contractor fails to comply with the requirements of the executive order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.

N. The contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government, and to keep records. Records shall at least include for each employee, the name, address, telephone number, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records.

O. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development block Grant Program).

100-07 TITLE VI OF THE CIVIL RIGHTS ACT OF 1964 NONDISCRIMINATION IN FEDERALLY ASSISTED PROGRAMS OF THE DEPARTMENT OF TRANSPORTATION: During the performance of this contract, the contractor, for itself, its assigns and successors in interest (hereinafter referred to as the contractor) agrees as follows:

A. Compliance with Regulations: The contractor shall comply with the Regulations relative to nondiscrimination in federally assisted programs of the Department of Transportation (hereinafter, DOT) Title 49. Code of Federal Regulations, Part 21, as they may be amended from time to time (hereafter, Regulations), which are herein incorporated by reference and made a part of this contract.

B. Nondiscrimination: The contractor, with regard to the work performed by it during the contract shall not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurement of materials and leases of equipment. The contractor shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the Regulations including employment practices when the contract covers a program set forth in Appendix B of the Regulations.

C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiation made by the contractor for work to be performed under a subcontract, including procurement of materials or leases of equipment, each potential subcontractor or supplier shall be notified by the contractor of the contractor's obligations under this contract and the Regulations relative to nondiscrimination on the grounds of race, color, or national origin.

D. Information and Reports: The contractor shall provide all information and reports required by the Regulations or directives issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the sponsor or the Federal Aviation Administration to be pertinent to ascertain compliance with such Regulations, orders, and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish this information the contractor shall so certify to the sponsor or the FAA as appropriate, and shall set forth what efforts it has made to obtain the information.

E. Sanctions for Noncompliance: In the event of the contractor's noncompliance with the nondiscrimination provisions of this contract, the sponsor shall impose such contract sanctions as it or the Federal Aviation Administration may determine to be appropriate, including, but not limited to:

1. Withholding of payments to the contractor under the contract until the contractor complies, and/or
2. Cancellation, termination, or suspension of the contract, in whole or in part.

F. Incorporation of Provisions: The contractor shall include the provisions of paragraphs A and E in every subcontract. Including procurement of materials and leases of equipment, unless exempt by the Regulations or directives issued pursuant thereto. The contractor shall take such action with respect to any subcontract or procurement as the sponsor or the Federal Aviation Administration may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, the contractor may request the sponsor to enter into such litigation to protect the interests of the sponsor and, in addition, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

G. Breach of Contract Terms - Sanctions: Any violation or breach of the terms of this contract on the part of the contractor/subcontractor may result in the suspension or terminal of this contract or such other action which may be necessary to enforce the rights of the parties of this agreement.

100-08 STANDARD REQUIREMENTS FOR AIRPORT IMPROVEMENT PROGRAM CONTRACTS: The following is included in all federally assisted construction contracts:

A. AIP Project: The work in this contract is included in AIP Grant Nos. 3-36-0001-xx-04 (Pending) which is being undertaken and accomplished by Albany County Airport Authority in accordance with the terms and conditions of a grant agreement between the Albany County Airport Authority, hereinafter referred to as the Sponsor, and the United States, under the Airport and Airway Improvement Act of 1982 (AAIA) (P.L. 97-248, 49 U.S.C. 2201 et seq) and Part 152 of the Federal Aviation Regulations (FAR) (14 CFR Part 152), or its

successor regulation, pursuant to which the United State has agreed to pay a certain percentage of the Act. The United States is not a party to this contract and no reference in this contract to the FAA or any representative thereof, or the United States, by the contract, makes the United States a party to this contract.

B. Consent to Assignment: The contractor shall obtain the prior written consent of the sponsor to any proposed assignment of any interest in or part of this contract.

C. Veteran's Preference: In the employment of labor (except in executive, administrative, and supervisory positions), preference shall be given to veterans of the Vietnam era and disabled veterans. However, this preference may be given only when the individuals are available and qualified to perform the work to which the employment relates.

D. FAA Inspection and Review: The contractor shall allow any authorized representative of the FAA to inspect and review any work or material used in the performance of this contract.

E. Inspection Records: The contractor shall maintain an acceptable cost accounting system. The sponsor, the FAA, and the Comptroller General of the United States shall have access to any books, documents, papers, and records of the contractor which are directly pertinent to the specific contract for the purpose of making audit, examination, excerpts, and transcriptions. The contractor shall maintain all required records for three years after the sponsor makes final payment and all other pending matters are closed.

F. Rights to Inventions - Materials: All rights to inventions and materials generated under this contract are subject to regulations issued by the FAA and the recipient of the Federal grant under which this contract is executed.

G. Disadvantaged Business Enterprises: It is the policy of the Department of Transportation that disadvantaged business enterprises shall have the maximum opportunity to participate in the performance of this contract.

1. The contractor agrees to ensure that disadvantaged business enterprises have the maximum opportunity to participate in the performance of subcontracts. In this regard the contractor shall take all necessary and reasonable steps in accordance with 49 CFR Part 23 to ensure that disadvantaged business enterprises have the maximum opportunity to compete for and perform subcontracts. Contractors shall not discriminate on the basis of race, color, national origin, or sex in the award and performance of this contract.

100-09 CLEAN AIR AND WATER POLLUTION CONTROL REQUIREMENTS: Contractors and subcontractors must agree for any contract or subcontract exceeding \$100,000.00:

A. That any facility to be used in the performance of the contract or to benefit from the contract is not listed on the Environmental Protection Agency (EPA) List of Violating Facilities;

B. That it will comply with all the requirements of Section 306 of the Clean Air Act, Section 508 of the Clean Water Act, Executive Order 11738, Environmental Protection Agency Regulation (40 CFR Part 15) and all regulations issued thereunder;

C. That it will notify the awarding official of the receipt of any communication from the EPA indicating that a facility to be utilized for performance of or benefit from the contract is under consideration to be listed on the EPA List of Violating Facilities; and

D. That it will include or cause to be included in any contract or subcontract which exceeds \$100,000.00 the aforementioned criteria and requirements.

100-10 BONDING/INSURANCE: The following clauses are included in all federally assisted construction contracts for bids and/or contracts in excess of \$100,000.00;

A. The contractor agrees to furnish a performance bond for 100 percent of the contract price. This bond is one that is executed in connection with a contract to secure fulfillment of all contractors' obligations under such contract.

B. The contractor agrees to furnish a payment bond for 100 percent of the contract price. This bond is one that is executed in connection with a contract to assure payment as required by law of all persons supplying labor and materials in the execution of the work provided for in the contract.

100-11 DISADVANTAGED BUSINESS ENTERPRISE REQUIREMENTS: Disadvantaged Business Enterprises (DBE) requirements are applicable to each general aviation airport sponsor receiving grant funds in excess of \$250,000; each non-hub airport sponsor (including commuters) receiving grant funds in excess of \$400,000; each large, medium, small hub airport sponsor receiving a grant in excess of \$500,000.

Since the contract to be awarded under this advertised bid falls into the above category, the bid is subject to the following DBE requirements:

A. The successful bidder shall make a good faith effort to use DBE subcontractors and to replace a DBE subcontractor that is unable to perform successfully with another DBE subcontractor. There shall be no substitution of an subcontractors without the prior approval of the sponsor in order to ensure that the substitute firm is an eligible DBE.

B. Definitions:

1. **A. disadvantaged business enterprise** is a small business concern:

(a) Which is at least 51% owned by one or more socially or economically disadvantaged individuals, or, in the case of any publicly owned business, at least 52% of the stock of which is owned by one or more socially and economically disadvantaged individuals; and

(b) Whose management and daily business operations are controlled by one or more socially and economically disadvantaged individuals who own it.

2. **Small business concern** means a small business as defined pursuant to section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto except that a small business concern shall not include any concern or group of concerns controlled by the same socially and economically disadvantaged individual or individuals which has annual average gross receipts in excess of \$14 million over the previous three fiscal years.

3. **Socially and economically disadvantaged individuals** means those individuals who are citizens of the United States (or lawfully admitted permanent residents) and who are Women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, or Asian-Indian Americans and any other minorities or individuals found to be disadvantaged by the Small Business Administration pursuant to Section 8 (a) of the Small Business Act. Recipients shall make a rebuttable presumption that individuals in the following groups are socially and economically disadvantaged. Recipients also may determine, on a case-by-case basis that individuals who are not a member of one of the following groups are socially and economically disadvantages:

(a) "Black Americans," which includes persons having origins in any of the Black racial groups of Africa;

(b) "Hispanic Americans," which includes persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish or Portuguese culture or origin, regardless of race;

(c) "Native Americans," which includes persons who are American Indians, Eskimos, Aleuts, or Native Hawaiians;

(d) "Asian-Pacific Americans," which includes persons who origins are from Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, the Philippines, Samoa, Guan, the U.S. trust Territories of the Pacific, and the Northern Marianas; and

(e) "Asian-Indian Americans," which includes persons whose origins are from India, Pakistan, and Bangladesh.

C. Bidding Requirements: Each Bidder is required to submit DBE participation information and, as a condition of contract award, must meet the DBE goal or demonstrate to the Airport sponsor that it made a good faith effort to reach the goal.

The bidder shall make good faith efforts, as defined in Appendix A of 49 CFR Part 23, Regulations of the Office of the Secretary of Transportation, to subcontract 10% of the dollar value of the prime contract to small business concerns owned and controlled by socially and economically disadvantaged individuals (DBE). In the event that the bidder for this solicitation qualifies as a DBE, the contract goals shall be deemed to have been met. Individuals who are rebuttable presumed to be socially and economically disadvantaged include Women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, and Asian-Indian Americans.

Each Bidder will be required to submit within the bid information concerning the DBE's that will participate in this contract. The information will include: (1) the name and address of each DBE; (2) a description of the work to be performed by each named firm; (3) the dollar value of the contract; and (4) a copy of the DBE Certification. If the bidder fails to achieve the contract goal stated herein, it shall provide documentation with the bid demonstrating that it made good faith efforts in attempting to do so. A bid that fails to meet these requirements will be considered non-responsive.

D. Procedures to Confirm Good Faith Efforts: If the apparent low bidder, who is otherwise responsive and responsible, cannot meet the goal, he must show that he has made good faith efforts to this end through:

1. Attendance at the pre-bid meetings;
2. Copies of advertisement(s) in trade association newsletters and minority-owned media;
3. A report with a detailed statement of efforts made to locate and negotiate with DBE=s, including information on:
 - (a) Efforts made to select portions of the work proposed to be performed by DBE=s in order to increase the likelihood of achieving the stated goal;
 - (b) Each DBE contracted, but which the bidder considers to be unqualified to perform the work;
 - (c) Each DBE contracted, but which the bidder considers to be unavailable; and
 - (d) Which organizations that represent or provide assistance to subcontractors were contracted

The stated percentage goal may be waived if the aforementioned good faith efforts to reach the goal have been made. However, a bidder or proposer's failure to meet the goal or to show meaning good faith efforts to reach the goal may be grounds for finding the bid non-responsive.

E. To insure that any substitute firm is an eligible DBE, the contractor shall not substitute subcontractors without the prior approval of the Owner.

F. The Contractor shall establish and maintain records and submit reports, as required and requested, which will identify the efforts and achievements made to meet DBE subcontract goals and other DBE affirmative action efforts.

100-12 FOREIGN TRADE RESTRICTIONS

This clause is included in all solicitations, contracts, and subcontract resulting from projects funded under the AIP.

The Contractor or subcontractor, by submission of a bid and/or executive of a contract, certifies that it:

a. Is not owned or controlled by one or more citizens or nationals of a foreign country included in the list of countries that discriminate against U.S. firms published by the Office of the United States Trade Representative (USTR);

b. Has not knowingly entered into any contract or subcontract for this project with a contractor that is a citizen or national of a foreign country on said list, or is owned or controlled directly or indirectly by one or more citizens or nationals of a foreign country on said list.

c. Has not procured any product nor subcontracted for the supply of any product for use on the project that is produced in a foreign country on said list.

Unless the restrictions of this clause are waived by the Secretary of Transportation in accordance with 49 CFR 30.17, no contract shall be awarded to a contractor or subcontractor who is unable to certify to the above. If the

Contractor knowingly procures or subcontractors for the supply of any product or service of a foreign country on the said list for use on the project, the Federal Aviation Administration may direct, through the sponsor, cancellation of the contract at no cost to the government.

Further, the contractor agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification without modification in each contract and in all lower tier subcontractors. The contractor may rely upon the certification of a prospective subcontractor unless it has knowledge that the certification is erroneous.

The contractor shall provide immediate written notice to the sponsor if the contractor learns that its certification or that of a subcontractor was erroneous when submitted or has become erroneous by reason of changed circumstances. The subcontractor agrees to provide immediate written notice to the contractor, if at any time it learns that its certification was erroneous by reason of changed circumstances.

This certification is a material representation of fact upon which reliance was placed when making the award. If it is later determined that the contractor or subcontractor knowingly rendered an erroneous certification, the Federal Aviation Administration may direct, through the sponsor, cancellation of the contract or subcontract for default at no cost to the Government.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by this provision. The knowledge and information of a contractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

This certification concerns a matter within the jurisdiction of an agency of the United States of America and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18, United States Code, Section 1001.

100-13 SECRETARY OF LABOR REQUIREMENTS

A (1) Minimum Wages

(i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act, the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b) (2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(IV) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in subparagraphs 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein; provide, that the employers payroll records accurately set forth the time spent in each classification in which the work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

(ii)(A) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore, only when the following criteria have been met:

- (1) Except with respect to helpers as defined in 29 CFR 5.2(n)(4), the work to be performed by the classification requested is not performed by a classification in the wage determination; and
- (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rate contained in the wage determination; and
- (4) With respect to helpers as defined in 29 CFR 5.2(n) (4), such a classification prevails in the area in which the work is performed.

(ii) (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, D.C. 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(ii) (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer within the 30-day period that additional time is necessary.

(ii) (D) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1) (B) or (C) of this paragraph shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

A (2) Withholding

The Federal Aviation Administration shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers employed by the contractor or any subcontractor for the full amount of wages required by the contracts. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employer or working on the site of the work all or part of the wages required by the contract, the Federal Aviation Administration may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

A (3) Payrolls and Basic Records

(i) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including) rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1 (b) (2) (B) of the Davis-Bacon Act, daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of labor has found under 29 CFR 5.5(a) (1) (iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1 (b) (2) (CB) of the Davis-Bacon Act. The contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(ii) (A) The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Albany County Airport Authority. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under subparagraph 5.5 (a) (3) (i) of Regulations, 29 CFR Part 5. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, D.C. 20402. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors.

(ii) (B) Each payroll submitted shall be accompanied by a "Statement of Compliance" signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract shall certify the following:

(1) That the payroll for the payroll period contains the information required to be maintained under subparagraph 5.5 (2) (3) (i) of Regulations, 29 CFR Part 5 and that such information is correct and complete:

(2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR Part 3;

(3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determinations.

(ii) (C) The weekly submission of a properly executed certification set forth on the reversed side of Optional Form WH-347 shall satisfy the requirement for submission of the Statement of Compliance required by paragraph (a) (3) (ii) (B) of this section.

(ii) (D) The falsification of any of the above certifications may subject the contractor subcontractor to civil or criminal prosecution under Section 1001 of the United States Code.

(iii) The contractor or subcontractor shall make the records required under paragraph (a) (3) (i) of this section available for inspection, copying or transcription by authorized representatives of the Department of Transportation, Federal Aviation Administration, or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal Aviation Administration may, after written notice to the contractor, sponsor, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

A (4) Apprentices, Trainees and Helpers

(i) Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ration permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for applicable classification. If the Administrator determines that the different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training or a State Apprenticeship Agency recognized by the Bureau, withdraws approval, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(ii) Trainees, except as provided in 29 CFR 5.16, will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidence by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ration permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended and 29 CFR Part 30.

(iv) Helpers. Helpers will be permitted to work on a project if the helper classification is specified on an applicable wage determination or is approved pursuant to the conformance procedure set forth in subparagraph 5.5(a) (1) (ii). The allowable ratio of helpers to journeymen employed by the contractor or subcontractor on the job site shall not be greater than two helpers for every three journeymen (in other words, not more than 40 percent of the total number of journeymen and helpers in each contractor's or in each

subcontractor's own work force employed on the job site). Any worker listed on a payroll at a helper wage rate, who is not a helper as defined in 29 CFR 2.5 (n) (4), shall be paid not less than the applicable wage rate on the wage determination for classification of work actually performed. In addition, any helper performing work on the job site in excess of the ration permitted shall be paid not less than the applicable journeyman's (or laborer's, where appropriate) wage rate on the wage determination for the work actually performed.

A (5) Compliance with Copeland Act Requirements: The contractor shall comply with the requirements of 29 CFR Part 3, which are incorporated by reference in this contract.

A (6) Subcontractors: The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a) (1) through (10 and such other clauses as the Federal Aviation Administration may be appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.

A (7) Contract Termination: debarment: A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

A (8) Compliance with Davis-Bacon and Related Act Requirements: All rulings and interpretations of the Davis-Bacon and related acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.

A (9) Disputes Concerning Labor Standards: Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, & 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

A (10) Certification of Eligibility:

(i) By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of Section (3)(a) of the Davis-Bacon Act or 29 CFR 5.12 (A)(1).

(ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of Section 3 (a) of the Davis Bacon Act or 29 CFR 5.12(A) (1).

(iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 19 U.S.C. 1001.

See Certification Form Next Page

CONTRACTOR'S CERTIFICATION OF ELIGIBILITY

The bidder certifies, by submission of this proposal or acceptance of this contract, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency. It further agrees by submitting this bid that it will include this clause without modification in all lower tier transactions, solicitations, bids, proposals, contracts, and subcontracts. Where the bidder/offer/contractor or any lower tier participant is unable to certify to this statement, it shall attach an explanation to this solicitation/proposal.

That, the information above is true and complete to the best of my knowledge.

Name and Title (please print)

Signature

Date

Note: The penalty for making false statements in offers is prescribed in 19 U.S.C. 1001.

B (1) Contract Work Hours and Safety Standards Act

(i) Overtime Requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

(ii) Violation; Liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in subparagraph (i) of this clause, the contractor and subcontractor responsible therefore, shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory) for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in subparagraph (i) of this paragraph, in the sum of \$10 for each calendar day for which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of overtime wages required by the clause set forth in subparagraph (i) of this clause.

(iii) Withholding for unpaid wages and liquidated damages. The Albany County Airport Authority shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in subparagraph (ii) of this paragraph.

(iv) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (i) through (iv) of this paragraph and also a clause requiring the subcontractors to include these clauses in any lower tier contracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraph (i) through (iv) of this paragraph.

B (2) Contracts Subject Only to Contract Work Hours and Safety Standards Act

(i) The contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid.

(ii) The records to be maintained under paragraph (i) above shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the Department of Transportation, Federal Aviation Administration and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job.

100-14 BUY AMERICAN - STEEL AND MANUFACTURED PRODUCTS FOR CONSTRUCTION CONTRACTS (Jan 1991)

A. The Aviation Safety and Capacity Expansion Act of 1990 provides that preference be given to steel and manufactured products produced in the United States when funds are expended pursuant to grant issued under the Airport Improvement Program. The following terms apply:

1. Steel and Manufactured Products: As used in this clause, steel and manufactured products include (1) steel produced in the United States or (2) a manufactured product produced in the United States, if the cost of all its components mined, produced or manufactured in the United States exceeds 60 percent of the cost of all its components and final assembly has taken place in the United States. Components

of foreign origin of the same class or kind as the products referred to in subparagraphs B.1 or B.2 shall be treated as domestic.

2. Components: As used in this clause, components mean those articles, materials, and supplies incorporated directly into steel and manufactured products.

3. Cost of Components: This means the costs for production of the components, exclusive of final assembly labor costs.

B. The successful bidder will be required to assure that only domestic steel manufactured products will be used by the contractor, subcontractors, material men, and suppliers in the performance of this contract, except those:

1. That the U.S. Department of Transportation has determined, under the Aviation Safety and Capacity Expansion Act of 1990, are not produced in the United States in sufficient and reasonably available quantities and of a satisfactory quality;

2. That the U.S. Department of Transportation has determined, under the Aviation Safety and Capacity Expansion Act of 1990, that domestic preference would be inconsistent with the public interest; or

3. That inclusion of domestic material will increase the cost of the overall project contract by more than 25 percent.

C. The Contractor shall deliver only domestic steel and manufactured products under this contract as defined below:

1. Steel and Manufactured Products: As used in this clause, steel and manufactured products include (1) those produced in the United States or (2) a manufactured product produced in the United States, if the cost of its components mined, produced or manufactured in the United States exceeds 60 percent of the cost of all its components and final assembly has taken place in the United States.

2. Components: As used in this clause, components means those articles, materials, and supplies incorporated directly into steel and manufactured products.

3. Cost of Components: This means the costs for production of the components, exclusive of final assembly labor costs.

D. The Contractor agrees that only domestic steel and manufactured products will be used by the Contractor, subcontractors, materialmen, and suppliers in the performance of this contract, as defined below:

1. Steel and Manufactured Products: As used in this clause, steel and manufactured products include (1) those produced in the United States or (2) a manufactured product produced in the United States if this cost of its components mined, produced or manufactured in the United States exceeds 60 percent of the cost of all its components and final assembly has taken place in the United States.

2. Components: As used in this clause, components mean those articles, materials, and supplies incorporated directly into steel and manufactured products.

3. Cost of Components: This means the costs of production of the components, exclusive of final assembly labor costs.

E. List of Supplies/Materials That The U.S. Government Has Determined Are Not Produced In The United States In Sufficient And Reasonably Available Quantities And Of Sufficient Quality (Jan. 1991).

Acetylene, black	Fibers of the following types: abaca, abaca,
Agar, bulk	agave, coir, flax, jute, jute burlap, Palmyra
Anise	Silk raw and unmanufactured
Antimony, as metal or oxide	Goat and kidskin
Asbestos, amosite, chrysolite, and	Graphic, natural, crystalline, crucible grade
crocidolite	Hand sewing needles
Bananas	Hemp yarn
Bauxite	Hog bristles for brushes
Beef, corned, canned	Hyoscine, bulk
Beef extract	Ipecac, root
Bephenium Hydroxynapthoate	Iodine, crude
Bismuth	Kaurigum
Books, trade, text, technical, or scientific;	Lac
newspapers, pamphlets; magazines;	Leather, sheepskin, hair type
Periodicals; printed briefs and films; not	Lavender oil
printed in the United States and for which	Manganese
domestic editions are not available	Menthol, natural bulk
Brazil nuts, unroasted	Mica
Cadmium, ores and flue dues	Microprocessor chips (brought onto a
Calcium Cyanamide	construction site as separate units for
Capers	incorporation into building systems during
Cashew nuts	construction or repair and alteration of real
Castor beans and castor oil	Nickel, primary, in ingots, pigs, shots,
Chalk, English	cathodes, or similar forms; nickel oxide and
Chestnuts	nickel salts
Chicle	Nitro guanidine (also known as picrite)
Chrome ore or chromite	Nux vomica, crude
Cinchona bark	Oiticica oil
Cobalt, in cathodes, rondelles, or other	Olive Oil
primary ore and metal forms	Olives (green), pitted or unpitted, or stuffed,
Cocoa beans	in bulk
Coconut and coconut meat, unsweetened, in	Opium, crude
shredded desiccated or similarly prepared	Oranges, mandarin, canned
form	Petroleum, crude oil, unfinished oils, and
Coffee, raw or green bean	finished products (see definitions below)
Colchicine alkaloid, raw	Pine needle oil
Copra	Platinum and related group metals, refined,
Cork, wood or bark and waste	as sponge, powder, ingots, or cast bars
Cover grass, microscope slide	Pyrethrum flowers
Cryolite, natural	Quartz crystals
Dammar gum	Quebracho
Diamonds, industrial, stones and abrasives	Quinidine
Emetine, bulk	Quinine
Ergot, crude	Rabbit fur felt
Erthrityl tetra nitrate	Radium salts, source and special nuclear
Fair linen, alter	materials
	Rosettes

Rubber, crude and latex	Triprolidine hydrochloride
Rutile	Tungsten
Santonin, crude	Vanilla Beans
Secretin	Venom, cobra
Shellac	Wax, camauba
Swords and scabbards	Woods, logs, veneer, and lumber of the following species: Alaskan yellow cedar, Angelique, balsa, ekki, greenheart, lignum vital, mahogany, and teak
Talc, block, steatite	Yarn, 50 Denier rayon
Tapioca flour and cassava	
Tatar, crude; tartaric acid and cream of tartar in bulk	
Tea, in bulk	
Thread, metallic (gold)	Spare and replacement parts for equipment of foreign manufacture, and for which domestic parts are not available
Thyme oil	
Tin in bars, blocks, and pigs	

Petroleum Terms are Used as Follows:

“Crude oil” means crude petroleum, as it is produced at the wellhead, and liquids (under atmospheric conditions) that have been recovered from mixtures of hydrocarbons that existed in a vaporous phase in a reservoir and that are not natural gas products.

“Finished products” means any one or more of the following petroleum oils, or a mixture or combination of these oils, to be used without further processing except blending by mechanical means:

(A) **“Asphalt”** - a solid or semi-solid cementitious material that (1) gradually liquefies when heated, (2) has bitumen’s as its predominating constituents, and (3) is obtained in refining crude oil.

(B) **“Fuel Oil”** - a liquid or liquefiable petroleum product burned for lighting or for the generation of heat or power and derived directly or indirectly from crude oil, such as kerosene, range oil, distillate fuel oils, gas oil, diesel fuel, topped crude oil, or residues.

(C) **“Gasoline”** - a refined petroleum distillate that, by its consumption, is suitable for use as a carburant in internal combustion engines.

(D) **“Jet Fuel”** - a refined petroleum distillate used to fuel jet propulsion engines.

(E) **“Liquefied Gases”** - hydrocarbon gases recovered from natural gas or produced from petroleum refining and kept under pressure to maintain a liquid state at ambient temperatures.

(F) **“Lubricating Oil”** - a refined petroleum distillate or specially treated petroleum residue used to lesson friction between surfaces.

(G) **“Naphtha”** - a refined petroleum distillate falling within a distillation range overlapping the higher gasoline and the lower kerosene.

(H) **“Natural Gas Products”** - liquids (under atmospheric conditions) including natural gasoline, that:

(1) are recovered by a process of absorption, adsorption, compression, refrigeration, cycling, or a combination of these processes, from mixtures of hydrocarbons that existed in a vaporous phase in a reservoir, and

(2) when recovered and without processing in a refinery, definitions of products contained in subdivision (B), (C), and (G) above.

(I) “Residual Fuel Oil” - a topped crude oil or viscous residuum that, as obtained in refining or after blending with other fuel oil, meets or is the equivalent of MILSPEC Mil-F-859 for Navy Special Fuel Oil and any more viscous fuel oil, such as No. 5 or Bunker C.

“Unfinished Oils” means one or more of the petroleum oils listed under “Finished products” above, or a mixture or combination of these oils, that are to be further processed other than by blending by mechanical means.

BUY AMERICAN CERTIFICATE (JAN 1991)

By submitting a bid under this solicitation, except for those items listed by the offeror below or on a separate and clearly identified attachment to this bid, the offeror certifies that steel and each manufactured product, is produced in the United States (as defined in the clause Buy American - Steel and Manufactured Products for Construction Contracts) and that components of unknown origin are considered to have been produced or manufactured outside the United States.

Lists of articles, materials, and supplies excepted from this provision are included in Section 100-14E.

PRODUCT

COUNTRY OF ORIGIN

4) **ORGANIZATIONAL CHART**

Organizational Chart

Albany County Airport Authority Board Members

Samuel A. Fresina, Chairman
Kevin Hicks, Sr., Member
Lyon Greenburg, M.D., Member
Steven Heider, Member
Sari O'Connor, Member
Thomas A. Nardacci, Member
John-Raphael Pichardo, Member

Albany County Airport Authority Office Staff

Philip F. Calderone, Esq., Chief Executive Officer
Michael F. Zonsius, Chief Financial Officer
Christine C. Quinn, Esq., Authority Counsel
Bobbi Matthews, Purchasing Agent
Jenn Munger, DMWBE Liaison Officer

4.1) CONTRACTING OR PROCUREMENT UNIT'S RESPONSIBILITIES

ADMINISTRATIVE RESPONSIBILITY

A. The DMWBE Liaison Officer shall report directly to the Albany County Airport Authority's CEO and shall be responsible for developing, managing, and implementing this SDVOB on a day-to-day basis; for carrying out technical assistance activities for SDVOBs; and for disseminating information on available business opportunities so that SDVOBs are provided an equitable opportunity to bid on Airport contracts. The DMWBE Liaison Officer shall develop and use affirmative action techniques to facilitate SDVOB participation in contracting activities. These techniques shall include, but not be limited to:

1. Gathers and reports statistical data and other information as required;
1. Reviews third party contracts and purchase requisitions for compliance;
2. Works with all departments to set overall annual goals;
3. Ensures that bid notices and request for proposals are available to SDVOB's in a timely manner;
4. Identifies contracts and procurements so that SDVOB's are included in solicitation;
5. Analyzes the Authority's progress toward goal attainment and identifies way to improve progress;
6. Participates in pre-bid meetings;
7. Advises the CEO on SDVOBs matters and achievements;
8. Participates with the legal counsel and project director to determine contractor compliance with good faith efforts;
9. Works with the Purchasing Department to ensure Vendor files reflect current SDVOB certification status;
10. Works with purchasing to ensure Vendor interest files are periodically updated to include newly certified SDVOBs;
11. Acts as liaison to Office of General Services.

RESPONSIBILITY OF OTHER PARTIES

B. The Authority's consultants and contractors shall cooperate with the DMWBE Liaison Officer to ensure maximum opportunity for SDVOB participation. Such cooperation shall include, but not be limited to:

1. Providing information on contracting and leasing opportunities, together with a breakdown of subcontracting opportunities;
2. Consulting with the DMWBE Liaison Officer in establishing, design, number, size and content of bid packages;
3. Furnishing information, assistance, and reports to, and otherwise cooperating with, the DMWBE Liaison Officer in the performance of duties assigned to the DMWBE Liaison Officer hereunder;
4. When so requested by the DMWBE Liaison Officer, shall have primary and continuing responsibility for the participation and cooperation of that agency, consultant or contractor in matters concerning SDVOB's;
5. Review and report to the DMWBE Liaison Officer on the policies and programs effecting the SDVOB Program, and keep the DMWBE Liaison Officer informed of all proposed budgets, plans and programs of that consultant or contractor

- affecting this SDVOB Program;
6. To the extent required by contractor's agreement with the Authority, report to the DMWBE Liaison Officer on any activity that falls within the scope of this SDVOB Program; and
 7. Within constraints of law and funding, continue and expand current efforts to foster and promote SDVOBs, support the Program herein set forth, and cooperate with the DMWBE Liaison Officer in increasing the total SDVOB effort.

C. Albany County Airport Authority Purchasing Department shall:

1. Arrange solicitations on requests for proposals, times for presenting bids on proposals, quantities, specifications and delivery schedules so as to facilitate SDVOBs participation;
2. Consult with the DMWBE Liaison Officer on procurement policies, including bonding, licenses and other requirements;
3. Insure that solicitations contain all clauses and goals required by this Program;
4. Provide information to SDVOB firms about the Albany County Airport Authority's organization, functions, and full range of contractual needs;
5. Offer instructions and clarification on bid specifications, the Authority's procurement policy, procedures, and general bidding requirements;
6. Place bid notices in the Dodge Bulletin, DBE trade association newsletters, major local newspapers, as well as periodicals of interest to the disadvantaged community;
7. Make bid specifications available to SDVOBs contractor associations and technical assistance agencies;
8. Provide SDVOB organizations with lists of plan holders and majority firms expected to bid as prime contractors; and
9. Allow a lead time of at least twenty (20) days, when possible, for advertisement of all invitations for bid so that all firms have ample time to develop a complete bid package or proposal and secure necessary assistance;
10. The Purchasing Department will periodically update the "Lists of Interested Vendors" to include newly certified SDVOBs found in the Directory of NYS Certified SDVOBs as updated from time to time.

D. Albany County Airport Authority's Counsel shall:

1. Review contracts clauses for legal sufficiency; and
2. Provide legal support as appropriate.

E. Albany County Airport Authority's Chief Financial Officer shall thoroughly investigate the full extent of services offered by banks owned and controlled by minorities or women in the Albany area and make the greatest feasible use of these banks. The Chief Financial Officer will refer to the Recommendations in the Governor's Executive Order regarding Best Practices in Financial Services: Accounting Services; Broker-Dealers; Certificates of Deposit and Money Market Fund Investments; Financial Advisory Services; Risk Management and Insurance Brokerage Services and seek to implement as feasible under the circumstance.

4.2) CONTRACT COMPLIANCE UNIT'S RESPONSIBILITIES

Administrative and Contract Compliance Units Responsibilities

The Albany County Purchasing Agent is responsible for sending out Request for Bids, Proposals and Request for Quotations for services and construction contracts. Notification is sent to Affirmation Action offices and agencies listed in the outreach section for all service and construction contracts, RFP's and RFQ's.

1. Service Contracts:

- Are procured in accordance with the Authority's Procurement Guidelines.
- The Purchasing Agent, along with evaluation committee, evaluates Proposals/Bids and makes their recommendations to the Chief Financial Officer who advances it to the Chief Executive Officer, and the board if required by the Procurement Guidelines.
- The Department Head and Purchasing Agent are responsible for overseeing all aspects of contract compliance.

2. Construction Contracts:

- Construction Contracts are developed by the Airport Authority, Engineering Department and Engineer/Architect.
- Selection committee negotiates and evaluates proposals.
- Pre-Award Submittals - Construction managers letter of recommendations, including SDVOB Compliance & Form A, insurance certification, schedule of values, schedule of compliance submittals and work schedule.
- The Albany County Airport Authority Board reviews and approves all contracts over \$50,000.
- A written Notice of Award is issued by the Authority to the apparent successful Bidder stating that upon compliance by the apparent successful Bidder with the conditions precedent enumerated therein, within the time specified, Authority will sign and deliver the Agreement to be followed by the written Notice to Proceed authorized by the Authority to Contractor.
- The Engineer/Architects status during construction is to act as the Authority's Representative - monitoring of compliance, work performance, scheduling and payment schedule.
- Application for Payments and supporting documentation is forwarded to the Airport Authority Engineer for review and approval. SDVOB information is verified and the application is forwarded to the Chief Executive Officer and Chief Financial Officer for approval for payment.

4.3) INTERNAL REPORTING MECHANISMS AND RESPONSIBILITIES

Internal Reporting Mechanisms

Quarterly reports due to the SDVOB on April 15, July 15, October 15 and January 15 of each fiscal year are the responsibility of the DMWBE Liaison. Statistical data for quarterly payments is obtained from the Finance Department. SDVOB utilization and payment information is submitted on the Service-Disabled Veteran-Owned Business Form – C monthly or with each Voucher/Payment request.

5) **OUTREACH EFFORTS**

Outreach Efforts

It is the policy of the Authority to place bid notices in the Dodge Bulletin, DBE trade associated Newsletters, major local newspapers, as well as periodicals of interest to the disadvantaged Community;

- ◆ Airport
- ◆ Business Review
- ◆ Times Union Newspaper
- ◆ Minority Commerce Weekly
- ◆ Rensselaer County Affirmative Action
- ◆ Albany County Affirmative Action
- ◆ Saratoga County Affirmative Action
- ◆ Schenectady County Affirmative Action
- ◆ ACAA Website

In addition to posting notices, a letter is sent to certified SDVOBs informing them of Request for Proposal or Invitation to Bid Notice issued for upcoming projects or procurements.

Agency Specific Program Accomplishments

To increase SDVOB utilization, the Directory of NYS Certified SDVOBs is used to identify companies whose services are necessary for upcoming construction contracts (all aspects of work, construction companies, trucking, fencing, paving, etc.) or purchasing of products. Letters of **Request for Proposal** or **Invitation for Bid** along with the ACAA notice is mailed to each company. The SDVOB requirements are explained and emphasized at pre-bid meetings. Through this process we have SDVOB companies who bid on construction contracts or contacted the low bidder to be subs, and this effort has increased response in the purchasing of goods and services.

Initiatives That Are Planned For 2022 To Better Outreach The SDVOB Community and Increase Utilization Include:

The Airport Authority will conduct an email outreach to SDVOB businesses serving the Albany County market and let them know of procurement opportunities and invite them register as an interested vendor for services or goods they may offer.

6) STANDARDIZED FORMS

- 1. SERVICE-DISABLED VETERAN-OWNED BUSINESS ENTERPRISE FORM - A**
- 2. SERVICE-DISABLED VETERAN-OWNED BUSINESS ENTERPRISE FORM - B**
- 3. SERVICE-DISABLED VETERAN-OWNED BUSINESS ENTERPRISE FORM - C**
- 4. SERVICE-DISABLED VETERAN-OWNED BUSINESS ENTERPRISE FORM - D**



**FORM A
ALBANY COUNTY AIRPORT AUTHORITY
SDVOB UTILIZATION PLAN
FOR NON-FEDERALLY FUNDED PROJECTS**

ACAA Contract No. _____ Bid Date: _____ Agreement/Contract Value: _____

Contractor: _____ Primary Contact: _____

Address: _____

Phone: _____ Fax: _____ E-Mail: _____

Goals: SDVOB 6% (As specified in project advertisement and/or documents)

Subcontractor Name and Address	Federal ID No.	Dollar Value of Contract or Purchase Order	Description of Work Or Supplies	Subcontractor/Supplier Schedule	
				Start Date	Completion Date
			Check On: <input type="checkbox"/> Subcontractor <input type="checkbox"/> Supplier		
			Check On: <input type="checkbox"/> Subcontractor <input type="checkbox"/> Supplier		
			Check On: <input type="checkbox"/> Subcontractor <input type="checkbox"/> Supplier		
			Check On: <input type="checkbox"/> Subcontractor <input type="checkbox"/> Supplier		

In accordance with the Authority's Contract Documents and Executive Law Article 17-B, my firm seriously expects to use NYS certified SDVOB certified firms listed above. The Contractor shall request approval of any changes to this plan from the DBE Liaison Officer.

Company Officer's Signature

Date

(Print Name)

Title

MWDBE Liaison Officer

Date

Contract No. _____

Description _____

ALBANY COUNTY AIRPORT AUTHORITY
SERVICE-DISABLED VETERAN-OWNED BUSINESS ENTERPRISE FORM B
INTENT TO PERFORM AS A SUBCONTRACTOR

TO: _____
NAME OF BIDDER

The undersigned intends to perform work in connection with the above project as (check one)

- | | |
|--|--|
| <input type="checkbox"/> a contractor | <input type="checkbox"/> a joint venture |
| <input type="checkbox"/> a subcontractor | <input type="checkbox"/> a supplier |

The SDVOB status of the undersigned is confirmed as as per the attached Affidavit of Service-Disabled Veteran-Owned Business Enterprise (on SDVOB Form A)

The undersigned is prepared to perform the following described work in connection with the above project;

and at the following price: _____

You have projected the following commencement date for such work, and the undersigned is projecting completion of such work as follows:

<u>Items</u>	Projected Commencement <u>Date</u>	Projected Completion <u>Date</u>
--------------	--	--

With respect to the proposed subcontract described above _____% of the dollar value of such subcontract will be sublet and/or awarded to non-SDVOB contractors and/or non-SDVOB suppliers. The undersigned will enter into a formal agreement for the above work with you conditioned upon your execution of a contract with the Albany County Airport Authority.

Date

Name of Veteran Contractor (please print)

Address

Phone Number

Company Officer Name and Title

Signature

CONTRACT No. _____

Description _____

ALBANY COUNTY AIRPORT AUTHORITY
SERVICE-DISABLED VETERAN-OWNED BUSINESS ENTERPRISE
SDVOB UNAVAILABILITY CERTIFICATION

I, _____,

of _____, certify that on _____
I contracted the following SDVOB to obtain a bid for work items to be performed on the
project named above.

<u>SDVOB Contractor</u>	<u>Work Items Sought</u>	<u>Form of Bid Sought i.e., Unit price Material and Labor, Labor only, etc.)</u>
-----------------------------	------------------------------	--

To the best of my knowledge and belief, said SDVOB was unavailable (exclusive of unavailability due to lack of agreement on price) for work on this project, or was unable to prepare a bid, for the following reason(s):

Signature: _____

Date: _____

I, _____ was offered an opportunity to bid on the above
Identified work on _____ by _____

The above statement is a true and accurate account of why I did not submit a bid on this project.

Signature of SDVOB

Title